

AUG 23 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**OFFICIAL**

Applicant(s): Roman C. Gutierrez; Thomas R. VanZandt; Christopher I. Walker;
Edouard G.H. Schmidtlin; Robert J. Calvet

Assignee: SiWave, Inc.

Title: Fiber Optic Collimator and Collimator Array

Serial No.: 10/669,676 Filing Date: September 23, 2003

Examiner: J. Martinez Group Art Unit: 2873

Docket No.: M-15571 US Confirmation No.: 1414
(formerly 14250-016001)

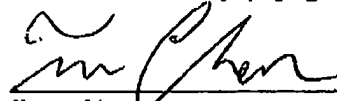
Irvine, California
August 23, 2004Via Facsimile to (703) 308-5077

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents are being facsimile transmitted to the
U.S. Patent and Trademark Office on the date shown below:

- (1) Transmittal Letter (1 page);
- (2) Power of Attorney By Assignee Of Entire Interest w/copy of Recorded
Assignment (9 pages);
- (3) Response to Office Action (11 pages); and
- (4) New Combined Declaration and Power of Attorney (4 pages).

Dated: August 23, 2004
Tom Chen
Registration No. 42,406Number of pages (including this sheet): 26

LAW OFFICES OF
MACPHERSON KWOK CHEN
& HEID LLP
1762 TECHNOLOGY DRIVE
SUITE 226
SAN JOSE, CA 95110
(949) 752-7040
FAX (408) 392-9262

MacPherson Kwok Chen & Heid LLP
1762 Technology Drive, Suite 226
San Jose, California 95110

Telephone: (949) 752-7040
Fax: (408) 392-9262
Fax to USPTO

MacPherson Kwok Chen & Heid LLP

1762 Technology Drive, Suite 226

2402 Michelson Drive, Suite 210

San Jose, CA 95110

Irvine, CA 92612

Tel. (408) 392-9250

Tel. (949) 752-7040

Fax (408) 392-9262

Fax (949) 752-7049

Email: mailbox@macpherson-kwok.com

August 23, 2004

Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re: Applicants: Roman C. Gutierrez; Thomas R. VanZandt; Christopher I. Walker;
 Assignee: Edouard G.H. Schmidtlin; Robert J. Calvet
 SiWave, Inc.
 Title: Fiber Optic Collimator And Collimator Array
 Application No.: 10/669,676 Filing Date: September 23, 2003
 Examiner: Joseph P. Martinez Group Art Unit: 2873
 Docket No.: M-15571 US Confirmation No.: 1414

Dear Sir:

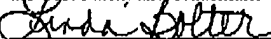
Transmitted herewith are the following documents in the above-identified application:

- (1) Certification of Facsimile Transmission (1 page);
- (2) This Transmittal Letter (1 page);
- (3) Power of Attorney By Assignee of Entire Interest w/copy of Recorded Assignment (9 pages);
- (4) Response to Office Action (11 pages); and
- (5) New Combined Declaration and Power of Attorney (4 pages).

- ☒ No additional fee is required.
☐ The fee has been calculated as shown below:


CLAIMS AS AMENDED

	Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Additional Fee
Total Claims	20	Minus	20	=	0	x \$ 9.00	\$ - 0 -
Independent Claims	4	Minus	4	=	0	x \$43.00	\$ - 0 -
<input type="checkbox"/> Fee of \$145.00 for the first filing of one or more multiple dependent claims per application							\$
<input type="checkbox"/> Information Disclosure Statement							\$
Total additional fee for this Amendment:							\$
<input checked="" type="checkbox"/> Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.							
<input type="checkbox"/> Please charge our Deposit Account No. 50-2257 in the amount of							\$ - 0 -
<input checked="" type="checkbox"/> Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257							
Total:							\$ - 0 -

Certification of Facsimile TransmissionI hereby certify that this paper is being facsimile transmitted to
the U.S. Patent and Trademark Office on the date shown below.

 Linda Bolter

 August 23, 2004
 Date of Signature

Respectfully submitted,


 Tom Chen
 Attorney for Applicants
 Reg. No. 42,406

OFFICIALIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Roman C. Gutierrez; Thomas R. VanZandt; Christopher I. Walker;
Edouard G.H. Schmidtlin; Robert J. Calvet

Assignee SiWave, Inc.

Title: Fiber Optic Collimator and Collimator Array

Serial No.: 10/669,676 Filing Date: September 23, 2003

Examiner: J. Martinez Group Art Unit: 2873

Docket No.: M-15571 US Confirmation No.: 1414
(formerly 14250-016001)

Irvine, California
August 23, 2004Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action dated May 21, 2004, please amend the above-identified application as follows. Because the three month response date of August 21, 2004 was on a Saturday, the present response, filed August 23, is within the statutory period.

MCKINERSON, KWOK CHEN
& HESTER LLP1762 TECHNOLOGY DRIVE
SUITE 226
SAN JOSE, CA 95110
(408) 752-7040
FAX (408) 392-9262